



2015 New York Finance Forum

I. Introduction

The New York Finance Forum brings together some of the most influential economists, financiers, investors and entrepreneurs from U.S. and China, to initiate groundbreaking discussion on most recent development and trends of global economic and financial market.

The forum provides those who participate an excellent platform to exchange the latest financial and investment news, expand professional network, and discover new partnerships and opportunities. While taking great effort to become one of the most influential financial platforms worldwide, the forum also seeks to establish a global financial think tank to provide strategic thinking and intellectual support to governments and financial institutes.

Including the annual meeting, the forum plans to launch a series of online and offline theme events, and create a cooperative and mutually beneficial community for both U.S. and Chinese businessmen and scholars. The forum's message will be sent out through new media, delivering enriched financial information with unique prospective.

II. About the Forum

Co-Chairs: Hai Jin, Kaifeng Chen

NYFF Preparatory Committee Members:

Ming Cen, Jiyuan Chen, Kaifeng Chen, Kun Gao, Hai Jin, Haobo Lai, Xuhui Li, Ying Liu, Hongzhi Ma, Hengyong Mo, Zhiwen Wang, Chenyang Wei, Ke Zhang, Haixia Zhao, Jianqiang Zhu

Sponsors:

New York Finance Forum Preparatory Committee

Columbia University School of Law

China-U.S. Chamber of Commerce

ICBC New York

Northern Shipping Fund

Marine Money International

Sina Finance

Association of FinTech And Big Data Professionals

III. 2015 New York Finance Forum Theme and Agenda

Theme: "Openness and Innovation: Financial Investment in the World under Reconstruction"

Time: Sept. 27th, 2015, Sunday

Location: The Faculty House, Columbia University in the city of New York, USA

08: 00 Registration

08: 30 Opening: Introduction to guests by Dr. Hai Jin and Dr. Kevin Chen

09: 00 Theme 1: Global Economy and Financial Market Analysis and Prospects

Host:

Dr. Kevin Chen, CIO & Partner at Three Mountain Capital, Adjunct Professor at New York University, Member of the SPS Dean's Adjunct Advisory Committee

Guest speakers:

Michael Cheah, Executive Vice President at Capital Position, Adjunct Associate Professor at New York University and Fordham University

Jim Laurence, Chairman, Marine Money

Henry Mo, Managing Director, Deputy Chief Economist at AIG

Bal G. Das, Vice Chairman, Kailix Investment Advisors

Yuqiang Xiao, Chairman and Non-Executive Director, ICBC North America

10: 15 Break

10: 30 Theme 2: Chinese Firms Invest in the USA: the Internationalization of Chinese Enterprises and Individuals.

Host:

Frank English, Former Vice Chairman at Morgan Stanley Investment Banking division, CBOE board members

Guest Speakers:

Wynn Wang, Chairman and President of the China-U.S. Chamber of Commerce

Robert Jackson, Professor of Law, Columbia University

Chenyang Wei, Head of Credit Research under Chief Credit Officer of AIG; President of the Chinese Finance Association

Ranjan Bhaduri, Chief Research Officer, Sigma Analysis & Management Ltd

Blake Zhang, Co-Chairman, Asian Financial Society

11: 45 Group Photo

12: 00 Individual Fellowship and Rest

14: 00 Theme 3: Global Allocation of Capital: New Wealth Management Strategy for Chinese High-Net-Worth Clients

Host:

Dr. Hai Jin,

Guest Speakers:

Hilary Till, Principal of Premia Capital and LSE North American Advisory Board Member

Sean Durkin, President, Northern Shipping Fund

Kun Gao, Quantitative Portfolio Manager, Tudor Investment Corporation

Tony Tang, Head of Research, TIG Securitized Asset Fund

Cynthia DiBartolo, CEO, Tigress Financial Partner

Mike Li, VP and Portfolio Manager, American Century Investment

15: 15 Break

15: 30 Theme 4: Disruptions and Innovation, FinTech, “Internet Finance”, and Beyond: A Dialog Between American and Chinese’s Perspectives

Host: Haobo Lai, Founder and Managing Partner, Haobo Lai Associates

Guest Speakers:

Chuan Wang, Technology Commentator

Yihan Fang, CEO, CreditEase

Lingyun Gu, Founder and CEO, IceKredit Inc.

Savneet Singh, Founder and President, GBI (Gold Bullion International)

Helen Altshuler, CTO, PeerIQ

16: 45 Press Conference

19: 00 The Moon Festival. No formal dinner, guests gather and celebrate at will.

IV. Introduction of Guest Speakers

PANEL 1: Global Economy and Financial Market Analysis and Prospects

P1 – Moderator: KAIFENG CHEN



Mr. Kevin Chen is the partner and chief investment officer in Three Mountain Macro Hedge Fund located in Manhattan. Mr. Chen started his career in International Finance Bureau at China Development Bank International Finance Division in 1998. From 2004 to 2008, he worked as a capital allocation and fund manager at Morgan Stanley. He was also the director and senior portfolio manager in the Alternative Investments Department at Amundi Asset Management. From 2008 to 2011, Mr. Chen was responsible for the company's investment portfolio of billions of dollars, which included US Treasury Futures, Corporate Bonds, Stock Futures, Commodities and Real Estate Investment Trust.

Mr. Chen holds a Ph.D. from University of Lausanne Financial Asset Management Engineering Research Center; a M.S. degree of Finance from Tilburg University in Netherlands; and a B.S. degree of Economics from Renmin University of China. He is currently an adjunct professor in New York University and Pace University, and also teaches at Spain IESE Business School in New York. Mr. Chen is a member of the Economic Club of New York, Switzerland Ward Sciences Association, Netherlands Center for Economic Research and American Risk Management Association. Mr. Chen has interviewed with Wall Street Journal, Dow Jones and Bloomberg for several times. He has also given speeches at academic conferences in Columbia University, New York University and Yale University.

He is a co-founder of New York Finance Forum and serves as deputy secretary-general. He hosts Asian Investors Dinner at Buffett's Berkshire Hathaway annual meeting in Omaha every year. Mr. Chen attends "Institutional Investor Roundtable" host by CBN's (China Business Network Co., Ltd.), and serves as convener of New York Area. He has also interviewed with CCTV (China Central Television), China Securities Journal, Xinhua News Agency, 21st Century Business Herald, QQ Finance and Sina Finance. He has published articles on "Tsinghua Financial Review" and "Wall Street China". Mr. Chen co-founded the China Absolute Return Capital Association, and served as vice chairman on the board. Mr. Chen is currently the President of Financial and Economic Committee of China-U.S. Chamber of Commerce. He is a member of the SPS Dean's Adjunct Advisory Committee.

P1 – 1: MICHAEL CHEAH



Michael Cheah is Executive Vice President at Capital Position, a leading growth strategy and global marketing advisor to the financial services industry and founder of Clearer Horizons, a consulting company for U.S. clean technology companies expanding into Asia.

He is also an Adjunct Associate Professor at New York University and Fordham University teaching Global Finance and Macroeconomics.

Mr Cheah has more than 30 years of market experience and was most recently senior vice president and senior portfolio manager responsible for all investment-grade fixed income portfolios and member of the Asset Allocation Committee at AIG SunAmerica Asset Management Corp. Prior to joining SunAmerica in July 1999, Mr. Cheah spent 17 years at the Monetary Authority of Singapore (MAS). He was the head of the U.S. Bond Division, Markets and Investment Department, and chief representative of the New York Office where his responsibilities included the management of foreign exchange reserves and the overseeing of external relationships with financial and government institutions in the United States. Prior to heading the New York Office of MAS, Mr. Cheah served in various capacities, including the domestic open market and currency intervention desk of Local Money and Capital Markets, Foreign Exchange and Gold, and the Japan Bond division.

Mr. Cheah received a Bachelor's degree in Business Administration from the National University of Singapore, and a Master's of Science degree in Management from the London Business School, where he was a Sloan Fellow. He is also a Chartered Financial Analyst and Financial Risk Manager.

P1 – 2: JIM LAWRENCE



Mr. Lawrence is Chairman of the publishing company Marine Money, which produces, the monthly magazine Marine Money, the weekly on-line report Freshly Minted, the bi-weekly on-line report Marine Money Asia, the newsletter International Ship Registry Review, and the directory Official Guide to Ship Registries. Marine Money conferences are held in every major shipping center in the world bridging capital and the international maritime industry.

Jim Lawrence is a founding Partner, along with Patrick Adamson in London, of the MTI Network, the leading global public relations firm specializing in maritime crisis communications. The MTI Network has offices in 23 countries around the world.

MTI provides a round the clock response team to handle media relations in the event of any marine or transport related incident likely to attract unfavorable attention from the press, television, radio or online services. MTI currently provides crisis cover for over 8,000 vessels from 400 shipping companies, including tankers, gas carriers, bulkers, container and passenger ships and has in recent years assisted with many of the high-profile marine emergencies in Asia Pacific, the USA and Europe.

He is also Chairman of International Marketing Strategies, Inc. a US based sales and marketing company providing non-US based maritime focused companies, governments and associations with sophisticated entry to North American markets. International Marketing Strategies is partner with the Connecticut Maritime Association annual conference and tradeshow which is the largest international shipping event in the US each year and which Jim Lawrence co-launched with the Association almost 30 years ago.

Mr. Lawrence began his career in the maritime industry with Lloyd's of London Press, managing that company's North American business from New York City. He is on the Board of the Seamen's Church Institute in New York. He is a long standing supporter of the Connecticut Maritime Association, its conference and Trade show, its intern and job assistance programs. He is married with two daughters.

P1 – 3: BAI G. DAS



Mr. Das serves as Vice Chairman of Kailix Investment Advisors, a New York based investment management company. Prior to joining Kailix, Mr. Das co-founded InsCap Management, LLC, a specialty finance company, and held position as an asset manager. Before co-founding InsCap, Mr. Das practiced law for over a decade in New York at Skadden, Arps, Slate, Meagher & Flom LLP and at Cadwalader, Wickersham & Taft LLP.

Mr. Das serves as a director on the Board of Directors of US India Business Council and as a trustee on the Board of Trustees of the American India Foundation. He serves on the Leadership Council of New America Foundation and on the International Advisory Board of BritishAmerican Business

At New York's Museum of Jewish Heritage, Mr. Das serves as a member of the FASPE (Fellowships at Auschwitz for the Study of Professional Ethics) Steering Committee. Mr. Das also serves on the Advisory Council of Asia Society's India Country Fund. Mr. Das is a member of the Clinton Global Initiative. He is a member of the Japan Society and of the Economic Club of New York.

Mr. Das has served as a National Finance Co-Chair for Secretary Clinton's 2008 Presidential Campaign.

Mr. Das received his Masters in Law (LLM) from Columbia University Law School, New York; his Bachelor's in Law (LLB) from the Faculty of Law, Delhi University; and his Bachelor's in Arts (B.A. (Honors)) from Delhi University, India. Mr. Das was a Fellow at the Germeshausen Center for the Law of Innovation and Entrepreneurship, Franklin Pierce Law Center, Concord, NH where he also received a Master degree in Intellectual Property Law.

P1 - 4: HENRY MO



Dr. Mo is a managing director and the deputy chief economist at AIG. In the current positions, he is responsible for developing international residential and commercial real estate forecast models, and provides macro economic projections for the firm's asset management and insurance businesses. Dr. Mo joined AIG December 2013.

Previously, Dr. Mo worked as a director and senior economist in the Economics Research team at Credit Suisse. His responsibilities included generating thematic economic research on issues regarding both the global and US economies, managing the coordination of the firm's global economics research effort with 40+ economists, and producing the bank's global economics forecasts. In recent years, Dr. Mo has been focused on structural changes in the U.S. economy after the Great Recession. Dr. Mo was among the few economists who first voiced concerns on rising structural unemployment following the Great Recession as early as September 2009. His work on smaller wealth effect after the Great Recession is also considered as one of the seminal researches on this topic. Dr. Mo's research work was highly recognized and was regularly quoted by the nation's and the world's leading financial media, including *Barron's*, *Bloomberg*, *Financial Times*, *New York Times*, *Reuters*, *Wall Street Journal*, etc.

Prior to joining Credit Suisse, Dr. Mo worked as a research assistant in the National Bureau of Economic Research (NBER). Dr. Mo received his BS in Electronics and Information System from Zhongshan University and both his MPhil and PhD in Economics from the Graduate School and University Center, CUNY. Dr. Mo has published his PhD research in the *Journal of Banking and Finance*, *Journal of Empirical Finance*, and *NBER Working Paper Series*. In addition, Dr. Mo is a CFA charterholder.

P1 – 5: YUQIANG XIAO



Chairman & Non-executive Director

Mr. Yuqiang XIAO is the Chairman of ICBC US Management Committee, the General Manager of ICBC New York Branch, the Chairman of ICBC (USA) NA and the Chairman of ICBC Financial Services LLC. Prior to his current roles, Mr. Xiao served as the General Manager of ICBC Paris Branch and the Deputy General Manager of ICBC Tokyo Branch. Mr. Xiao joined ICBC in the early 90s. He has significant experience in the banking industry, with expertise in credit, international trading and business development. Mr. Xiao also holds ICBC Senior Economist title.

PANEL 2: Chinese Firms Invest in the U.S.: the Internationalization of Chinese Enterprises and Individuals

P2 – Moderator: FRANK E. ENGLISH

(Photo)

Mr. Frank E. English, Jr. is an Independent Director of CBOE Holdings, Inc. He serves as Senior Advisor at W.W. Grainger, Inc., a position he has held since 2011. From 1976 to April 2011, Mr. English has served in a number of positions at Morgan Stanley, including Vice Chairman of the Investment Banking division, where he advised numerous domestic and international clients on the use of their capital, corporate strategy and relations with shareholders. He currently serves on the boards of directors of Arthur J. Gallagher & Co. and Tower International, Inc. Mr. English holds a B.B.A. from the University of Notre Dame. Mr. English brings his experience advising and serving on boards of directors.

P2 -1: ROBERT J. JACKSON



Robert J. Jackson, Jr. is Professor of Law and Co-Director of the Ira M. Millstein Center for Global Markets and Corporate Ownership at Columbia Law School, where his research emphasizes on empirical study of executive compensation and corporate governance matters. Before joining the faculty in 2010, Professor Jackson served as an advisor to senior officials at the Department of the Treasury and in the Office of the Special Master for TARP Executive Compensation. Before that, Professor Jackson practiced in the Executive Compensation Department of Wachtell, Lipton, Rosen and Katz.

P2 -2: ZHIWEN WANG



Zhiwen Wang is the Chairman and President of the China-U.S. Chamber of Commerce, and the Chairman of World Chinese Merchant Youth Association. He is known as a famous Chinese-American investor, entrepreneur, social activist and philanthropist. Mr. Wang is dedicated to promoting better trade and economic communication, especially between China and the United States; and he has been recognized as one of the “Outstanding 50 Asian-American Entrepreneurs”.

Zhiwen Wang is extensively influential in both China and the United States. He has been cordially received by Chinese President Jinping Xi, U.S. President Barack Obama, Politburo Committee member Qishan Wang, former Chinese President Jintao Ho, former premier State Council Jiabao Wen, former United States Secretary of State Hillary Clinton, former U.S. President George W. Bush and other heads of state.

In 1996, Mr. Wang established his first U.S. based import and export company, which has been growing fast over the years. In 2005, Mr. Wang invested and held control of United Insurance Company, Auri Real Estate, Auri Consulting and Auri Property Management Company. Later he turned these companies to an integrated service group, focusing on real estate, funds, trade, insurance and consulting services. While the group headquarters in New York, it has an extensive network of several offices in Hong Kong and Mainland China. Under the leadership of Mr. Wang, the Group achieved outstanding goals within a very short time period.

As a Chinese who lives in America, Mr. Wang felt at the time that it was necessary to establish a broader communication platform. The China-U.S. Chamber of Commerce was established at the historic moment. Zhiwen Wang, at the age of 34 years old, became the youngest chairman and president of Chamber of Commerce all around the world. Under the supervision of Mr. Wang, the China-U.S. Chamber of commerce has become one of the largest Chambers of Commerce in the world, and continues to establish branch offices in different countries.

In his 20 years of investment career, Mr. Wang has accumulated rich investment experience. He has become more rational on the risks and returns in investment and has been discerning for investment opportunities, despite several up and down in the global markets over the years. When making investment decisions, Mr. Wang prioritizes risk control and team collaboration.

P2 -3: BLAKE ZHANG



Blake Zhang

Mr. Blake Zhang is the Co-Chairman of the Board of Asian Financial Society (“AFS”) in 2015, and he served as the Chairman of the Board in both 2013 and 2014. AFS was established in 1984 in New York and now has over 4,000 members worldwide. As the most socially cohesive and Asian-oriented professional financial association in New York, ASF has established 20 professional branches to cover all major finance sectors. AFS specializes on using its network, experience and intellectual capital to explore new business opportunities and innovations.

Mr. Zhang has over 20 years professional experience in international finance, and he is currently a Vice President at Deutsche Bank Securities Asset & Wealth Management division, responsible for managing, allocating and optimizing a 28 billion loan from private customers. Before joining Deutsche Bank, Mr. Zhang worked for JP Morgan Chase Bank in New York for 6 years and Daiwa Capital in Japan for 6 years. In 2008, he foresaw the potential financial crisis brought by US MBS, and timely helped Daiwa Capital to avoid a 2.8 billion dollars loss. Prior to joining JP Morgan, he worked at Singapore Pan Pacific Investment Group. He had engaged in private equity business in Greater China, Asia-Pacific Area and North America Area for years. He has evaluated over 150 enterprises and projects in consumer electronics, telecommunications, industrial products and commercial real estate and other industries. Mr. Zhang has also served as Economic Development Consultant in Quebec Economic Development Agency of Canada.

Mr. Blake Zhang holds a MBA degree from New York University Stern School of Business. He is currently living in the United States with his family.

P2 -4: RANJAN BHADURI



Dr. Ranjan Bhaduri is the Chief Research Officer at Sigma Analysis & Management Ltd.

Dr. Bhaduri was previously the Chief Research Officer at AlphaMetrix Alternative Investment Advisors, where he designed, implemented, and led an institutional due diligence and research program. He worked closely with institutional clients on portfolio matters. Dr. Bhaduri has also been a Vice President at Morgan Stanley where he conducted due diligence and helped design customized portfolios of Alternatives, and was on an Investment Committee. Earlier, he was at a Canadian Fund of Funds, and at a multi-billion dollar capital management firm, where he was involved in all aspects of the hedge funds and structured finance business. He has also worked with two major Canadian investment banks in the Financial Strategy Consulting Group and in Global Risk Management & Control.

Dr. Bhaduri has held advisory roles at the East-West Center, a leading think tank on the Asia-Pacific region, and at ClassMouse, an early stage software company. He has taught finance and mathematics at several universities and lectured on Derivatives for the Montreal Exchange.

Dr. Bhaduri has authored articles in many publications. He has been invited to speak worldwide regarding hedge fund issues, advanced portfolio and risk management techniques. Dr. Bhaduri has also been invited to meet with regulators in Beijing and Taipei by the CME to discuss hedge fund issues.

Dr. Bhaduri holds both the CFA and CAIA charters. He is a member of the American Mathematical Society, the Mathematical Association of America, the Toronto CFA Society, and the Global Association of Risk Professionals (GARP). Dr. Bhaduri serves as a member of the All About Alpha Editorial Board and has previously served on the CAIA Chicago Chapter Executive.

P2 – 5: CHENYANG WEI



魏晨阳，美国国际集团信用研究部总监 全美华人金融协会会长。魏晨阳博士自 2012 起任美国国际集团(AIG)首席信用官下属投资信用研究部主管，负责对集团投资的房地产市场、公司和金融机构债券、美国地方政府债等市场的投资策略及风险研究。加入 AIG 前魏博士曾任联邦储备银行高级经济学家，多篇学术论文发表于美国一流学术或政策研究杂志，对金融市场监管、危机后美国金融改革从政策制定到业界实施（如银行压力测试等）有实际经验。魏博士现任全美华人金融协会主席，多次成功举办大型专业及学术会议。魏晨阳获纽约大学金融学博士，德州奥斯汀分校经济学硕士，清华大学经管学院金融学士。

PANEL 3: Global Allocation of Capital: New Wealth Management Strategy for Chinese High-Net-Worth Clients

P3 – Moderator: HAI JIN



Dr. Hai Jin is the Chief Representative of China in Northern Fund Management America LLC. Prior to joining Northern, Dr. Jin had been the head of Shanghai Branch of multiple international shipping companies, including Norway Fanli Shipping Group, ACM Shipping Co., Ltd, Yilianhui Financial Group, etc. Dr. Jin has also working in Norway Skaugen Shipping Group for years. Dr. Jin has been studied in New York University Stern School of Business, Shanghai Jiao Tong University, Manchester Business School, Norwegian School of Management, and Renmin University of China. Now Dr. Jin is continuing his studies at Columbia University.

P3 -1: HILARY TILL



Hilary Till is a co-founder of Chicago-based Premia Capital Management, LLC, a proprietary trading firm. Previously, Ms. Till was the Chief of Derivatives Strategies at Putnam Investments, and a Quantitative Analyst at the Harvard Management Company. In addition, Ms. Till is the co-editor of “Intelligent Commodity Investing,” a bestseller for Risk Books, a London publisher.

Ms. Till has presented her analysis of the commodity futures markets to the following institutions: the U.S. Commodity Futures Trading Commission, the International Energy Agency, and the (then) U.K. Financial Services Authority.

Ms. Till presently serves on the North American Advisory Board of the London School of Economics. She is a member of the newly formed Research Council within the J.P. Morgan Center for Commodities at the University of Colorado-Denver Business School. She is also a Research Associate at the EDHEC-Risk Institute in Nice, France.

In Chicago, Ms. Till is a member of the Federal Reserve Bank of Chicago's Working Group on Financial Markets. She is an Advisory Board Member of DePaul University's Arditti Center for Risk Management. She has provided seminars (in Chicago) to staff from the Shanghai Futures Exchange and the China Financial Futures Exchange.

She has a B.A. with General Honors in Statistics from the University of Chicago and an M.Sc. degree in Statistics from the London School of Economics (LSE). Ms. Till studied at the LSE under a private fellowship administered by the Fulbright Commission.

P3 -2: XUHUI LI



Dr. Xuhui Li is the vice president and senior investment manager in American Century Investments. He manages a large investment portfolio at Wall Street.

Dr. Li started to manage the company's two flagship growth funds in 2006, the asset of which has accumulated to more than 12 billion dollars. The company highly invests on high-tech, Internet, media, medical treatment, pharmaceutical, and personal consumption and other industries. Dr. Li manages a 10-person team of analysts and investors, guides the direction of large investment and establishes a strict investment program. In the past nine years, the fund had made very good annual returns.

Dr. Li also helps expand the American Century Investments' business in Asia, especially in China. He helps to establish branch offices, found a joint venture asset management company with a major financial institution in China, and open an office in Hong Kong. Meanwhile, he helps American Century Investments cooperate with China's major financial groups, building QDII and oversea asset management.

Dr. Li got into the Youth Class of University of Science and Technology of China and had his B.S. degree in Material Science and Engineering. He holds a MBA degree from the Wharton School of University of Pennsylvania and a Ph.D. degree in Chemistry from University of Michigan. During pursuing the Ph.D., he has published several articles on gene sequencing and detection.

P3 – 3: SEAN DURKIN



President, Northern Fund Management America, LLC

Prior to joining Northern, Mr. Durkin organized the startup of Navigation Finance Corporation (“NFC”) and most recently served as Senior Investment Manager with responsibility for the U.S. region. Concurrently with his position with Navigation Finance Corporation, he was the Chief Financial Officer of Northern Navigation International Ltd. (a founder/sponsor of NFC). From 1992-1997, he held senior positions at United Waste Systems, Inc., including Vice President Finance and Controller. From 1987-1992, Mr. Durkin held several positions at Ernst & Young. Mr. Durkin graduated from Villanova University.

P3 – 4: Cynthia DiBartolo, ESQ.



Founding Partner & Member of the Board of Directors, CEO

Ms. DiBartolo is a member of the White House Business Council and an Executive Board Member of the Business and Labor Coalition of New York.

She currently serves as Chairperson of the Greater New York Chamber of Commerce.

Before founding Tigress, Ms. DiBartolo held senior management positions at Citigroup, including Director of Corporate Compliance, Director of Risk Management and Sales Manager for Smith Barney's Flagship New York office for Private Client Services.

Prior to Citigroup she was Assistant Secretary at Merrill Lynch Broadcort Capital. She began her career as a credit analyst at Bear Stearns.

In addition to Tigress, Ms. DiBartolo provides her support and expertise to a number of business community and philanthropic organizations.

Ms. DiBartolo is Founder of the Tigress Cares Foundation, which provides financial aid and advocacy for head and neck cancer screening and early detection.

Ms. DiBartolo graduated Cum Laude from Wagner College with a BA in Political Science and earned a Juris Doctorate from Villanova University, School of Law.

P3 – 5: TONY TANG



Dr. Tony Tang is the head of research at TIG Securitized Asset Fund (TSAF), which invests primarily in RMBS/CMBS/ABS securities. The fund won the 2014 Absolute Return Awards: Winer Fund of the Year – Fixed Income & Mortgage Backed Securities. Prior to TSAF, Tony was the director at Credit Suisse. After joining Credit Suisse in 2007, his responsibilities spanned a wide range of securitized product modeling and research. He provided analytical solutions for bank's origination, underwriting, structuring and advisory businesses, as well as research for institutional clients. Mr. Tang has authored numerous industry research publications. Before Credit Suisse, Mr. Tang was a research analyst at ABN AMRO. He was responsible for valuation and hedging strategies for multi-billion dollar mortgage servicing right (MSR) portfolio. Mr. Tang received his bachelor degree from Fudan University in Shanghai, China and Ph.D. from the University of Chicago. He is also a CFA charterholder.

P3 – 6: KUN, GAO



Dr. Kun Gao is currently a Quantitative Portfolio Manager at Tudor Investment Corporation, where he manages team developing and trading systematic equity strategies in global market. Prior to joining Tudor, he was a Portfolio Manager at WorldQuant, L.L.C. where he designed and traded a computer-driven global equity portfolio. Previously, he has worked for Morgan Stanley and Caxton Associates, L.L.C. Dr. Gao has rich experience in quantitative investment at Wall Street. Dr. Gao holds a B.S. in Probability and Statistics from Peking University and a Ph.D. in Statistics from Yale University. Dr. Gao is currently a board director of the Chinese Finance Association (TCFA).

PANEL 4: Disruptions and Innovation, FinTech, “Internet Finance”, and Beyond: A Dialog Between American and Chinese’s Perspectives

P4 – Moderator: HAOBO LAI



Mr. Haobo Lai is the Founder and Managing Partner of Haobo Lai Associates, a business analytics and Big Data consultancy in New Jersey. He is specialized in business analytics and decision sciences with 20 year experience in large organizations such as global Fortune 500 companies across telecommunications, investment banking, and public sector.

Mr. Haobo Lai is an influential figure in Big Data and FinTech in the Greater New York area and is engaged in entrepreneur efforts, consulting, as well as online and offline technical community building. Particularly, Mr. Lai has been working closely with colleagues to marry Big Data technologies with the high frequency data experience to innovatively transform financial industry and beyond. He is currently in the process of founding a joint global organization of FinTech professionals and Big Data ones.

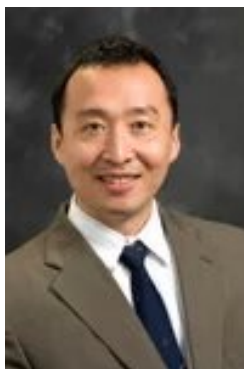
Mr. Lai has a M.S. of Electrical Engineering in New Jersey Institute of Technology (NJIT), a M. Eng. of Systems Engineering and a B.S. of Systems and Control from Xi'an Jiaotong University. He is also currently working on his Ph. D. from NJIT. Beyond professional activities, Haobo likes to travel, to enjoy outdoors, and engage in learning and community building through services.

P4 – 1: SAVNEET SINGH



Mr. Singh is the founder and president of GBI, the first electronic platform that allows investors to buy, trade and store physical precious metals. Previously, Mr. Singh was an investment analyst at Chilton Investment Company where he covered investments in the technology, alternative energy and infrastructure space. Prior to Chilton, Mr. Singh has worked for Morgan Stanley in the investment banking division where he was responsible for large financial sponsorship and strategic company transactions. Mr. Singh received his B.S. in Applied Economics and Management from Cornell University where he now serves on the advisory council. Mr. Singh was recently named to the Forbes 30 under 30 list, Outstanding 50 Asian Americans in Business, and to the Empact 100 list of top 100 Entrepreneurs under 30.

P4 -2: CHUAN WANG



Mr. Wang joined the Youth Class in University of Science and Technology of China in 1987. He received a Master degree in Material Science from University of Rochester in 1993; also received a Master degree in Computer Science from Georgia Institute of Technology in 1994. In 2008, he joined the Master of Financial Engineering Program at University of California, Berkeley. Mr. Wang has been working in Silicon Valley area since 1994. He has great experience in software design, real estate financing, stocks, bonds and high-tech startups' angel-investment. Since 2002, Mr. Wang has founded and been operating a real estate financial company-Marvel Financial. Mr. Wang specializes on individual investments. He is a well-known technology commentator and he publishes articles on both finance and technology through Wechat public account "investguru". He can also be reached by Wechat Account 9935070.

P4 – 3: YIHAN FANG



Ms. Yihan Fang is the CEO of Yirendai.com, a CreditEase company. Yihan has 15 years of experience in product, technology and marketing in internet and financial services. Yihan joined CreditEase in 2011 and built Yirendai platform, a leading online P2P marketplace in China. Yirendai has served over 200,000 customers and originated more than 6 billion RMB in personal loans. Prior to that, Yihan worked at IAC/Ask.com for 8 years. She was the Vice President of Global Search and Answers overseeing strategy and product development of various key search and question/answer products.

Yihan received a Master of Philosophy and a Master of Science in Electrical Engineering from Columbia University. She completed her undergraduate study in Special Class for Gifted Young at University of Science and Technology of China.

P4 – 4: LINGYUN GU



Mr. Lingyun Gu is currently a founder, chairman and CEO of Ice Kredit, Inc. Ice Kredit, Inc's main business involves the use of machine learning algorithms, big data related technologies, small business innovation and 360 degrees of individual credit ratings. Mr. Gu is also a board member of Turbo Financial Group, a board member of America Asset Avenue, an investment adviser of IDG/Accel and a member of Evaluation Committee of Tsinghua University School of Finance China Internet P2P Platform.

Prior founding Ice Kredit Inc, Mr. Gu was the co-founder and Chief Risk Officer of Turbo Financial Group. During the assumption in ZestFinance, he served as a model group founder, and was responsible for development model group, big data algorithms and technology, and the innovative application in individual credit rating field. He also briefly served as chief scientist in Kabbage, focusing on research and development on credit assessment for small enterprises. Mr. Gu has reported by New York Times, Forbes Magazine, Wall Street Journal, Bloomberg and other major US media institutions. He has also reported by Sina Finance, China Business Network ("CBN"), Sohu Finance and other major Chinese media institutions. Before joining ZestFinance, he was working on US hedge fund translucent capital, dedicated to the research and application in the field of high-frequency trading machine learning algorithms.

Mr. Gu holds a Ph.D. and M.S. degree in Computer Science from Carnegie Mellon University. He has published over 10 articles in international journals and conferences. In addition, he also owns 3 patents in Internet Finance field. Mr. Gu owned the title of best debater in the first National Varsity Debate Competition, at the same time, he was elected to National Youth Talent Plan. In addition, Mr. Gu was reported on Los Angeles Times on December 27, 2014 because of the excellent performance in big data and venture capital research. He holds a B.S. degree from University of Electronic Science and Technology of China.

P4 – 5: HELEN ALTSHULER



Helen Altshuler is the Chief Technology Officer at PeerIQ, a fintech startup offering institutional investors tools to analyze, access, and structure risk in the peer-to-peer lending sector. Prior to joining PeerIQ, Helen worked as an Executive Director and Head of Technology Delivery at JP Morgan, building technology platforms to support big data analytics, new consumer products, credit and market risk management, as well as FX and derivatives trading at the firm.

She holds a Bachelor of Computer Science degree from Pace University, is a noted speaker and writer on big data and business intelligence, and is an active leader in technical forums aimed at promoting technology and professional development, such as Computers for Youth (CFY) volunteer organization, Women Who Code, and Codemontage.

Helen is passionate about hackathons and talent development, and enjoys exposing her family to diverse arts and technology events in the city and innovations in ed-tech, such as Scratch! and RaspberryPi.